

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

50277-1787

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Bala Kumaresan, et al., entitled TECHNIQUES FOR MANAGING A DATABASE SYSTEM INCLUDING ONE OR MORE DATABASE SERVERS for a(n):

( ) Original Patent Application.

(X) Continuing Application (prior application not abandoned):

( ) Continuation (X) Divisional ( ) Continuation-in-part (CIP)

of prior application No: 09/240,229 Filed on: 1/29/99

(X) A statement claiming priority under 35 USC § 121 has been added to the specification.

Enclosed are:

(X) Specification (including cover sheet); 36 Total Pages. (X) Drawing(s); 10 Total Sheets.

(X) Oath or Declaration:

( ) A Newly Executed Combined Declaration and Power of Attorney:

( ) Signed.

( ) Unsigned.

( ) Partially Signed.

(X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

(X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

(X) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

( ) Power of Attorney.

(X) Return Receipt Postcard.

( ) Associate Power of Attorney.

(X) A Check in the amount of \$710.00 for the Filing Fee.

( ) Preliminary Amendment.

(X) Information Disclosure Statement and Form PTO-1449.

( ) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

(X) A Duplicate Copy of this Form for Processing Any Deficiency of Fee Against Deposit Account.

( ) A Certified Copy of Priority Documents (if foreign priority is claimed).

( ) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	9	0	\$18.00	\$ 0.00
Independent Claims	1	0	\$80.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$ 0.00
Assignment Recording Fee				\$ 0.00
Basic Filing Fee				\$ 710.00
Total Filing Fee				\$ 710.00

Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to above deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: 

Brian D. Hickman,  
Attorney of Record, Reg. No. 35,894

Date: August 31, 2001

Correspondence Address:



29989

PATENT & TRADEMARK OFFICE

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.110 on the date indicated below and is addressed to:

Box Patent Applications  
Commissioner for Patents  
Washington, D.C. 20231

By: 

Typed Name: Jennifer Newell  
Express Mail Label No.: EL734779672US  
Date of Deposit: August 31, 2001

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision,  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$)**710.00**

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Bala Kumaresan, et al.  
Examiner Name  
Group/Art Unit  
Attorney Docket No. 50277-1787

## METHOD OF PAYMENT (check one)

1. ☒ Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit  
Account  
Number

50-1302

Deposit  
Account  
Name

Hickman Palermo Truong & Becker, LLP

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

3. ☐ Applicant(s) is entitled to small entity status.  
See 37 CFR 1.27

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)**710.00**

### 2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from Below	Fee Paid
Independent	Claims				
9	-20**=	0	X	18.00	= 0.00
1	-3**=	0	X	80.00	= 0.00

Multiple Dependent

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

Name (Print/Type) Brian D. Hickman

Registration No  
(Attorney/Agent)

35,894

Complete (if applicable)

Telephone

(408) 414-1080

Signature

Date

August 31, 2001

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Express Mail No. EL734779672US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Bala Kumaresan, et al.

Group Art Unit: NYA

Application No.:

Examiner: NYA

Filed:

Title: TECHNIQUES FOR MANAGING A DATABASE  
SYSTEM INCLUDING ONE OR MORE DATABASE  
SERVERS

**STATEMENT TO DELETE INVENTORS NAMED IN DECLARATION OF PRIOR  
APPLICATION PURSUANT TO C.F.R. 1.63(d)(2)**

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Washington, D.C. 20231

Sir:

Applicants hereby request the deletion of the following inventors from the copy, filed concurrently herewith, of the declaration of the prior application Serial No. 09/240,229 filed January 29, 1999. The declaration of the prior application lists inventors for all claims at the time of filing. On July 18, 2001, in response to a restriction requirement imposed by an Office Action on the prior application, the prior application was amended to cancel claims and to delete inventors who contributed only to the canceled claims. Some of the canceled claims are the subject of the divisional application filed concurrently herewith. Thus, the declaration for the prior application includes the names of inventors who are no longer inventors of the prior application. Furthermore, the declaration for the prior application also includes names of inventors who are not inventors for the claims of the divisional application filed concurrently herewith.

The inventors to be deleted from the copy of the declaration for the divisional application  
filed concurrently herewith are as follows:

Lawrence J. Ellison, C. Gregory Doherty, Jay Rossiter, David Stowell,

Pari Bhaduri, Venkat Malla, Jane Chen, Srinivas Maturi, Balasubramanyam

Sthanikam, Yuanjiang Ou, Joseph Therrattil Koonen, Harish Rawat, and Muthu

Olagappan.

I hereby certify that this correspondence is being deposited with the  
United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR § 1.10 on the date indicated below  
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